20.

Other items or information: Communication

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ATTORNIEVIS DOCKET MILLERO

		ANSMITTAL LETTER TO I	UPN0022-100									
		ESIGNATED/ELECTED O CERNING A SUBMISSION	•	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/560,653								
		TIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED								
		04/019028 NVENTION VACCINES IMMUNOTHERAL	14 June 2004 PEUTICS AND METHODS FOR USING THE SAM	13 June 2003 ME								
╙			ruppiah Muthumani, Michele Kutzler, Andrew K. C	·								
⊢				 								
1.	_	t herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.										
2.	☒ .	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.										
3.			ional examination procedures (35 U.S.C. 371									
4.		The US has been elected (Article 31).										
5.		A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))									
			only if not communicated by the Internationa	al Bureau).								
		b. has been communicated by										
		c. is not required, as the application was filed in the United States Receiving Office (RO/US).										
6.		An English language translation of that. is attached hereto.	e International Application as filed (35 U.S.C	5. 371(c)(2)).								
		b. has been previously submitt	ted under 35 U.S.C. 154(d)(4).									
7.		Amendments to the claims of the Inte	ernational Application under PCT Article 19 (3	35 U.S.C. 371(c)(3))								
		a. are attached hereto (require	d only if not communicated by the Internation	nal Bureau).								
		b. have been communicated by	y the International Bureau.									
		c. have not been made; however	ver, the time limit for making such amendmen	nts has NOT expired.								
		d. have not been made and wil	Il not be made.									
8.		An English language translation of th	e amendments to the claims under PCT Artic	cle 19 (35 U.S.C. 371(c)(3)).								
9 10.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (executed by all inventors) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).										
	items	11 to 20 below concern document(s)) or information included:									
11.		An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.									
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.										
13.	\boxtimes	A preliminary amendment.										
14.		An Application Data Sheet under 37 CFR 1.76.										
15.		A substitute specification.										
16.	\boxtimes	Executed Power of attorney documen	its by all inventors.									
17.		A computer-readable form of the sequ	uence listing in accordance with PCT Rule 13	Ster.2 and 37 CFR 1.821- 1.825.								
18.		A second copy of the published Intern	national Application under 35 U.S.C. 154(d)(4	I).								
19.		A second copy of the English languag	ge translation of the international application (under 35 U.S.C. 154(d)(4).								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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•••	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) The following fees are submitted: 21. Basic national			INTERNATIONAL APPLICATION NO. PCT/US2004/017696			ATTORNEY'S DOCKET NUMBER UPN0011-100			
							CALCULATIONS PTO USE ONLY			
				\$300		\$				
	22. Examination Fee If international preliminary examination report prepared by USPT PCT Article 33(1)-(4)				\$100		\$			
	23. ☐ Search fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided in the Office \$400 All other situations \$500 TOTAL OF 21, 22 and 23 =							\$		
								\$		
	listing or	computer p	ecification and drawings program listing filed in ar s of paper or fraction the							
	Total Sheets Extra she		ra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE				
	- 100 =		0/50 =	0			x \$250	\$	İ	
	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							\$ 130.00		
:	CLAIMS		NUMBER FILED		NUMBER EXTRA		RATE	\$		
	Total clai	ms	- 20 =			x \$50		\$		
	Independent claims		-3=		•	x \$200		\$		
	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360						\$360	\$0		
	Applicant claims small entity status. See 37 CFR 1.27. Th				TOTAL OF ABOVE CALCULATIONS = The fees indicated above are reduced by ½.			s		
								\$65.00		
	SUBTOTAL =							\$65.00		
	Processing fee claimed priority			sh translation la	later than 30 months from the earliest			\$		
		TOTAL NATIONAL Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						\$65.00		
	`Fee for record by an appropria							\$		
					TOTA	AL FEES	S ENCLOSED =	\$65.00		
11/27/20	06 MKAYPAGH	0000001	7 501275 10560	653				Amount to be refunded:	\$	
01 FC:26	17	65.00 DA	<u> </u>					Amount to be charged: \$65.00	\$	
		checkinthe	eamount of \$		to cover the above fees is endosed.					
	 b. Please charge my Deposit Account No. <u>50-1275</u> in the amount of \$ 65.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1275 . A duplicate copy of this sheet is enclosed. 									
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information see the included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be and granted to restore the International Application to pending status.								t	
	SEND ALL COF	SEND ALL CORRESPONDENCE TO:								
	Cozen O'Conno	or, P.C.		SIGN			SIGNATURE			
	1900 Market Street							a (Registration Number 33,229)		
	Philadelphia, P/				-	NAME				

DOCKET NO.: UPN0022-100 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: David B. Weiner et al.

Serial No.: 10/560,653 Group Art Unit: Not Yet Determined

Filed: Herewith Examiner: Not Yet Determined

Title: VACCINES, IMMUNOTHERAPEUTICS AND METHODS FOR USING THE

SAME

VIA EXPRESS MAIL LABEL NO. EV 882774275 US DATE OF DEPOSIT: November 20, 2006

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

COMMUNICATION

Dear Sir:

To date Applicants have not received a Notification of Missing Requirements in connection with the above-identified application.

Enclosed please find fully executed Declaration and Power of Attorney documents for entry into the file wrapper.

The Commissioner is authorized to charge Deposit Account No. 50-1275 for any fees associated with this filing.

Respectfully submitted,

Mark DeLuca

Registration No. 33,229

Date: November 20, 2006

COZEN O'CONNOR 1900 Market Street Philadelphia, PA 19103-3508 Telephone: (215) 665-2000 Facsimile: (215) 665-2013